
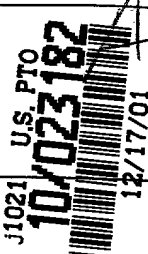


| | | | | | | | | | |
|---|--|--|-------------------------------------|--|-----------------------|--|---|---|--|
|  UTILITY PATENT APPLICATION TRANSMITTAL <small>Transmittal form for new nonprovisional applications under 37 C.F.R. § 1.53(b)</small> | | | Attorney Docket No. 12-20-01 | | LUD-5466.7 DIV | |  10/023182 J1021 U.S. PTO 12/17/01 | | |
| | | | | First Inventor or Application Identifier | | CHEN et al. | | | |
| | | | | Title | | ISOLATED NUCLEIC ACID MOLECULE ENCODING ESO-1 PEPTIDES AND USES THEREOF | | | |
| | | | | Express Mail Label No. | | EL649538281US | | | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | | | | | ADDRESS TO Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | | | |
| 1. | | <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) | | 6. | | <input type="checkbox"/> Microfiche Computer Program (Appendix) | | | |
| 2. | | <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) | | Total Pages 43 | | 7. | | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | |
| | | - Descriptive title of the Invention | | | | a. | | <input type="checkbox"/> Computer Readable Copy | |
| | | - Cross References to Related Applications | | | | b. | | <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) | |
| | | - Reference of Microfiche Appendix | | | | c. | | <input type="checkbox"/> Statement verifying identity of above copies | |
| | | - Background of the Invention | | | | ACCOMPANYING APPLICATION PARTS | | | |
| | | - Brief Summary of the Invention | | | | 8. | | <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| | | - Brief Description of the Drawings (if filed) | | | | 9. | | <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) | |
| | | - Detailed Description | | | | 10. | | <input type="checkbox"/> English Translation Document (if applicable) | |
| | | - Claim(s) | | | | 11. | | <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | |
| | | - Abstract of the Disclosure | | | | 12. | | <input type="checkbox"/> Preliminary Amendment | |
| 3. | | <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) | | Total Sheets 6 | | 13. | | <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | |
| | | | | | | 14. | | <input type="checkbox"/> *Small Entity Statement(s) | |
| | | | | | | | | <input type="checkbox"/> Statement filed in prior application, Status is proper and desired | |
| 4. | | <input checked="" type="checkbox"/> Oath or Declaration | | Total Pages 3 | | 15. | | <input type="checkbox"/> Certified Copy of Priority Document(s) | |
| | | a. | | <input type="checkbox"/> Newly executed (original or copy) | | 16. | | <input checked="" type="checkbox"/> Other: Check For Filing Fee | |
| | | b. | | <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) | | | | | |
| | | i. | | <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the | | | | | |


| | | | | | | |
|----|-------------------------------------|--|--|---|--|--|
| | | | | prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b) | | |
| | | | | | * NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28) | |
| 5. | <input checked="" type="checkbox"/> | Incorporation By Reference <small>(useable if Box 4b is checked)</small> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be a part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | |
| | | | | | | |

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

| | | | | | | | |
|--------------------------------|--------------|-------------------------------------|------------|--------------------------|----------------------------|--------------------------|------------|
| <input type="checkbox"/> | Continuation | <input checked="" type="checkbox"/> | Divisional | <input type="checkbox"/> | Continuation-in-part (CIP) | of prior application No: | 09/751,798 |
| Prior application information: | | | Examiner: | UNKNOWN | | Group / Art Unit: | UNKNOWN |

18. CORRESPONDENCE ADDRESS

| | | | | | |
|--------------------------|--|-------|----|-------------------------------------|------------------------------|
| <input type="checkbox"/> | Customer Number or bar code label | 24972 | or | <input checked="" type="checkbox"/> | Correspondence address below |
| | <small>(Insert Customer No. or Attach bar code label here)</small> | | | | |

| | | | | | |
|-------------------|---|-----------|--------------|-----------------------------------|-------------------|
| Name | Fulbright & Jaworski LLP | | | | |
| Address | 666 Fifth Avenue | | | | |
| City | New York | State | New York | ZIP Code | 10103 |
| Country | USA | Telephone | 212-318-3000 | Fax | 212-318-3400 |
| Name (Print/Type) | Norman D. Hanson | | | Registration No. (Attorney/Agent) | 30,946 |
| Signature |  | | | Date | December 18, 2001 |

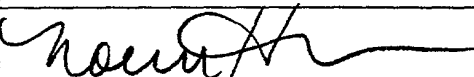
| | | |
|------------------------|--------------------------|----------------|
| | <i>Complete if Known</i> | |
| | Application Number | To be assigned |
| FEE TRANSMITTAL | Filing Date | Herewith |
| | First Named Inventor | CHEN et al. |
| | Group Art Unit | To be assigned |
| | Examiner Name | To be assigned |
| | Attorney Docket No. | LUD-5466.7 DIV |

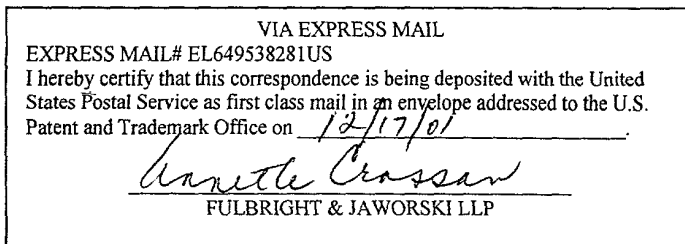
FEE CALCULATION

| (1) | (2) | (3) | (4) | (5) |
|---------------------------|--------------|--------------|-------------------|------------------------------|
| FOR: Large entity | NUMBER FILED | NUMBER EXTRA | RATE | BASIC FEE \$740.00 |
| TOTAL CLAIMS | 10- 20 = | 0 | x 18/9.00 | \$ 0.00 |
| INDEPENDENT CLAIMS | 1- 3 = | 0 | x 78/39.00 | \$ 0.00 |
| MULTIPLE DEPENDENT CLAIMS | | N/A | \$260/130.00 | □□□□ |
| | | | TOTAL FEES | \$740.00 |

METHOD OF PAYMENT

- ☐ Please charge Deposit Account No. 50-0624 in the amount of \$_____
- ☒ A check for \$740.00 is enclosed to cover the cost of the Application filing fee.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 50-0624. A duplicate of this sheet is enclosed.

| | | |
|-----------------------|---|------------------------------------|
| SUBMITTED BY: | | <i>Complete (if applicable)</i> |
| Typed or Printed Name | Norman D. Hanson | Reg. No. 30,946 |
| Signature |  | Deposit Account No. 50-0624 |
| | Date: December 18, 2001 | |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Stockert, et al.
Serial No : Divisional of 09/751,798
Filed : Herewith
For : ANTIBODIES WHICH BIND TO NY-ESO-1 CANCER ASSOCIATED PROTEINS, USES THEREOF, TRUNCATED FORMS OF NY-ESO-1 AND HLA BINDING PROTEINS DERIVED THEREFROM
Art Unit : To Be Assigned
Examiner : To Be Assigned

December 17, 2001

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

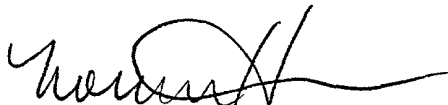
LETTER - RE: SEQUENCES

This application is a divisional of Serial No. 09/751,798, filed April 17, 1998. It is asked that the computer readable form of sequence information filed in 09/751,798 on December 29, 2000 be transferred to this application, and that the attached paper copy of same be made a part of this application.

The undersigned hereby declares that, to the best of his knowledge the paper copy of sequence information submitted herewith and the computer readable form transferred herewith present information identical to each other and to information set forth in the application as filed. No new matter is believed to be presented.

Respectfully submitted,

FULBRIGHT & JAWORSKI, L.L.P.



Norman D. Hanson, Esq.
Registration No. 30,946

666 Fifth Avenue
New York, New York 10103-3198
Telephone: 212-318-3168
Telecopier: 212-318-3400

25104759.1